

# A Two-body Rigid/Flexible Model of Needle Steering Dynamics in Soft Tissue

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**Abstract**—Robotics-assisted needle steering can enhance targeting accuracy in percutaneous interventions. This paper presents a novel dynamical model for robotically controlled needle steering. This is the first model that predicts both needle shape and tip position in soft tissue and accepts needle insertion velocity, needle 180° axial rotation, and needle base force/torque as inputs. A hybrid formulation of needle steering dynamics in soft tissue is presented, which considers the needle as a two-body rigid/flexible coupled system composed of a moving, discrete and rigid part attached to a vibrating compliant part that is subject to external excitation forces. The former is the carrier representing the surgeon's hand or the needle inserting robot while the latter is a beam modeling the continuous deflection of the needle inside tissue. A novel time-delayed tissue model and a fracture mechanics-based model are developed to model the tissue reaction forces and cutting force at the needle tip, respectively. Experiments are performed on synthetic and ex-vivo animal tissues to identify the model parameters and validate the needle steering model. The maximum error of the 2D model in predicting the needle tip position in the insertion plane was 1.59 mm in case of no axial rotation and 0.74 mm with axial rotation.

**Index Terms**—Dynamic modeling, Medical robotics, Needle steering.

## NOMENCLATURE

$XY, xy, \xi\eta$	Global inertial frame, carrier Body-fixed frame, and needle Body-fixed frame.
$N$	Generic point on the deflected needle.
$N_0$	Point $N$ on the unbent needle.
$R_N(x, t)$	Vector of coordinates of point $N$ .
$R^G(t)$	Vector of carrier mass center coordinates.
$r(x)$	Vector of coordinates of $N_0$ .
$u(x, t)$	Displacement vector connecting $N_0$ to $N$ .
$C(t)$	Matrix of direction cosines.
$X(t), Y(t)$	Displacement of carrier along $X$ and $Y$ axes.
$\theta(t)$	In-plane angle of rotation of carrier.
$\theta(t)$	Vector of carrier Euler angles.
$u(x, t)$	Displacement of point $N$ along $x$ axis.
$\omega(x, t)$	Displacement of point $N$ along $y$ axis.
$\psi(x, t)$	In-plane angle of rotation of point $N$ .
$\psi(x, t)$	Vector of point $N$ Euler angles.
$V(t)$	Velocity vector of the carrier center of mass.

$\dot{\theta}(t)$	Angular velocity of $xy$ relative to $XY$ .
$\dot{u}(x, t)$	Elastic velocity vector.
$\dot{\psi}(x, t)$	Elastic angular velocity of $\xi\eta$ frame.
$V_N(x, t)$	Velocity vector of point $N$ of needle.
$\mathcal{L}, \delta\mathcal{W}(t)$	Lagrangian and virtual work.
$T(t), \mathcal{V}(t)$	Kinetic and potential energy of needle-carrier.
$\delta(\cdot)$	Infinitesimal variation operator.
$\ell, A, I, \alpha$	Needle's length, cross-section area, second moment of inertia, and bevel angle.
$\rho, E$	Needle's density and Young's modulus.
$m_c, J_c$	Carrier's mass and moment of inertia.
$g$	Vector of gravitational field strength.
$F_c(t)$	Vector of forces acting on the carrier.
$F_{cx}(t)$	Forces acting on the carrier along $x$ axis.
$F_{cy}(t)$	Forces acting on the carrier along $y$ axis.
$M_c(t)$	Vector of torques acting on the carrier.
$M_{cz}(t)$	In-plane torque acting on the carrier.
$f(x, t)$	Vector of distributed forces on needle shaft.
$f_x(x, t)$	Distributed interaction forces along $x$ axis.
$f_y(x, t)$	Distributed interaction forces along $y$ axis.
$F_s(x, t)$	Soft-tissue reaction force.
$F_f(x, t)$	Needle-tissue friction force.
$F_c(t)$	Tissue cutting force.
$F_{c\xi}(t)$	Axial and transverse cutting forces along $\xi$ axis.
$F_{c\eta}(t)$	Axial and transverse cutting forces along $\eta$ axis.
$K_t, l_t, d_t$	Template's stiffness, length, and distance from the tissue.
$x_t(t)$	Position of the template in $xy$ .
$H, \delta$	Unit step and Dirac delta functions.
$W_i(x)$	Assumed mode shapes of vibration, $i = 1, \dots, n$ .
$\phi_i(t)$	Generalized coordinate, $i = 1, \dots, n$ .
$\beta_i$	Vibration frequency constant, $i = 1, \dots, n$ .
$\gamma_i, \kappa_i$	Vibration amplitude constants, $i = 1, \dots, n$ .
$\phi(t), W(x)$	Vectors of generalized coordinates and mode shapes.
$G_c$	Fracture toughness.
$G(t)$	Crack extension energy release rate.
$\nu$	Poisson's ratio.
$K_I$	Stress intensity factor for mode $I$ fracture.
$K_{ID}(t)$	Dynamic intensity factor for mode $I$ fracture.
$c_r$	Raleigh surface wave speed.
$h, m$	Dynamic crack extension constants.
$K_{I0}$	Dynamic stress intensity at zero velocity.
$V_l$	Limiting crack speed.
$K_s, C_s$	Tissue stiffness and damping coefficient per unit length of needle.

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## I. INTRODUCTION

Needle-based interventions are common minimally invasive medical procedures. An example is prostate brachytherapy, where a flexible needle passing through a grid template is inserted in tissue, such that radioactive sources loaded in the needles can be placed in or near the tumor (see Fig. 1(a)). Robotics-assisted needle insertion can increase percutaneous medical procedures efficiency, which highly depends on accurate control of the needle tip trajectory. Modeling the needle deflection and the interaction between needle and soft tissue is an essential requirement for robotic needle steering and surgical planning and has been the topic of significant research efforts [1]–[3]. Here, a novel hybrid model of needle-tissue interaction is presented. The hybrid modeling implies mixed use of ordinary differential equations (ODE) to model the surgeon's hand and/or a rigid needle carrier, as well as continuous partial differential equations (PDE) to model the needle-tissue interaction. The proposed model can be used for needle steering in robotic-assisted needle steering schemes.

The term *steering* implies control of the needle tip deflection and changing the direction of the needle tip trajectory as the needle is being inserted by means of inputs such as axial rotation, lateral manipulation, and insertion velocity control of the needle base. A needle steering model predicts the needle trajectory inside tissue based on the aforementioned steering inputs. Alterovitz *et al.* presented a 2D FEM model of needle insertion considering the effect of the tip bevel [4]. Chentanez *et al.* expanded the model into 3D [5]. Park *et al.* developed a simple nonholonomic unicycle model to describe how an ideal needle with bevel tip moves through firm tissue [6]. Webster *et al.* extended this idea and developed a nonholonomic bicycle-like model for steering flexible bevel-tipped needles [3].

Several research groups have used classical beam theories to develop fundamental mechanics-based models [2], [7], [8]. Misra *et al.* used an energy-based formulation for a beam that is in contact with a nonlinear hyperplastic tissue to simulate needle steering [2]. Later, the same model was extended to include needle rotation during needle insertion [9]. From our group, Khadem *et al.* used a dynamic beam theory to study the effects of insertion velocity on needle deflection [10]. In the proposed model, tissue cutting force and tissue deformation was assumed to be velocity-independent and a uniform force profile was used to model tissue reaction force. Also, the effect of needle axial rotation on needle deflection and tissue deformation was not studied. Reed *et al.* studied effects of torsional friction on needle deflection dynamics [11]. They developed an estimator showed that the estimator allows the needle to maintain motion in a prescribed plane.

Design of needle steering planners and most types of feedback controllers requires a model of needle-tissue interaction that predicts the needle tip position given the inputs at the needle base such as insertion velocity and needle axial rotation. In the preceding models, a common approach for simulating needle deflection is using FEM [4], [5]. Employing a comprehensive FEM model of tissue can be very time-consuming – more time-efficient ways to model tissue come at the expense of reduced accuracy. Also, some of the preceding

models do not present sufficient control commands for real-time feedback control, which limits their application in real-time needle steering [2], [7], [8].

To the best of the authors' knowledge, the nonholonomic kinematics-based models [3], [6] are the only model that has insertion velocity and axial needle rotation as inputs. The kinematics-based model has been widely used for robotic needle steering [12], [13]. The model does not account for needle-tissue interaction along the needle shaft and assumes the needle tip moves on a constant curvature path. Previous studies have shown that when the kinematic model is applied to path planning and control in soft tissues, there are non-negligible deviations between the model and experimental data [14]. In summary, various inputs such as insertion velocity and axial rotation can be used for needle steering. A dynamic or kinematic model is needed to relate these inputs to the system states (needle shape) and output (needle tip position).

In this paper, we have developed a novel model of needle steering that accepts insertion velocity, axial rotation, and needle base force/torque as inputs and can be used for robotics-assisted needle steering. Here, a computationally efficient needle steering model is developed that allows for real-time control of needle tip position in a robotic-assisted needle insertion scheme. Other contributions of this paper include: (1) A novel *time-delayed* tissue model that enables modeling of needle axial rotation during needle insertion. (2) The needle steering model explicitly relates needle deflection to the insertion velocity, insertion force/torque, and axial rotation of needle; therefore, these parameters can all be used as control commands for closed-loop needle steering.

This paper is organized as follows: In Section II, details of the needle steering model including the modeling assumptions, kinematic and dynamic modeling, and model simplification are presented. In Section III, separate complementary models are developed to simulate interaction forces between the needle and its surrounding environment. In Section IV, the needle steering model is validated through intensive needle insertion experiments on synthetic and ex-vivo animal tissues and results of the experiments are discussed. Concluding remarks are presented in Section V.

## II. MODELING: TWO-BODY RIGID/FLEXIBLE DYNAMICS

Needle deflection is modeled as externally excited compliant beam attached to a rigid carrier. The elastic needle is modeled as a prismatic beam that deflects under various external forces, i.e., reaction forces from deformed soft tissue, needle-tissue friction, the grid template contact force, and tissue cutting force. The carrier has two translational and one rotational degrees of freedom (DoF). The carrier can be a physical component (e.g., the surgeon's hand or the needle inserting robot) or may simply be a virtual massless body with a body-fixed frame. Fig. 1(a) shows a schematic diagram of the needle insertion system. An advantage of the proposed modeling technique is that we can explicitly see the effects of the forces and torques applied to the carrier on needle deflection, and vice versa. By relating needle base force/torque to the needle deflection using the proposed model they can be

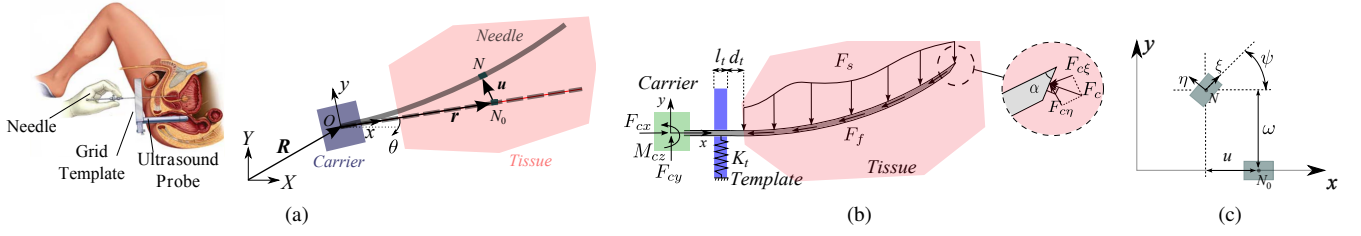


Fig. 1. Needle insertion in brachytherapy. (a) The surgeon inserting the flexible needle in the tissue and a sketch of the needle insertion system consisting of a flexible needle attached to a rigid carrier. (b) Interaction forces acting on the length of the bevel-tip needle inserted into a soft tissue. (c) Needle element bending displacement.

used as feedback or control inputs in a robotically controlled needle steering.

The following assumptions are used in modeling the needle deflection during insertion into the tissue:

- (1) The needle has only 2D planar deflection and the insertion plane defined by initial orientation of the needle beveled tip.
- (2) The needle is modeled as homogeneous beam with constant cross section area that is infinitely stiff in shear.
- (3) Planar rotation of beam elements is small and rotary inertia of the needle due to needle bending is negligible.
- (4) The axis of the prismatic beam is incompressible and needle shortening due to axial compression is neglected. However, axial forces can affect needle bending dynamics when the deflection is large.
- (5) The center of mass of the needle driving system is assumed to coincide with that of the carrier.

Assumptions 2 and 3 are Euler-Bernoulli beam theory assumptions [15], which hold for all slender brachytherapy needles. During needle steering, the needle tip might deviate and deflect out of plane. However, using the process presented in [11] and [1] we can minimize the out of plane deflection and maintain a 2D needle insertion. In the following, the generalized coordinates and kinematics of the needle-tissue system are introduced. Next, we use the variational formulation to model the dynamic motion of the needle inside the tissue. Finally, a mathematical approach is used to simplify the dynamic equations. Throughout this paper we use the following notation:  $s$ ,  $\mathbf{s}$  and  $\mathbf{S}$  denote a scalar, a vector and a matrix, respectively.

#### A. Kinematics of Needle Steering

Fig. 1(a) shows a schematic diagram of the needle insertion system. The generic point  $N_0$  along the needle shaft when the needle is unbent coincides with the point  $N$  when the needle is deflected. The coordinates of point  $N$  of the deflected needle in the body-fixed frame ( $xy$ ) is

$$\mathbf{R}_N = \mathbf{C}\mathbf{R}^G + \mathbf{r} + \mathbf{u} \quad (1)$$

where  $\mathbf{R}^G = [X \ Y \ 0]^T$  is expressed in coordinates of the inertial frame,  $\mathbf{r} = [x \ 0 \ 0]^T$ ,  $\mathbf{u} = [u \ \omega \ 0]^T$ , and  $\mathbf{C}$  is the matrix of direction cosines and allows to transform a point from ( $XY$ ) frame to ( $xy$ ) frame. It should be emphasized that in our approach all the forces and torques applied to the needle and carrier are defined with respect to the non-inertial frame ( $xy$ ) attached to the carrier called the *body-fixed frame*. Thus, it is more convenient to define our generalized

coordinates and velocities in the body-fixed frame – they are customarily referred to as pseudo-coordinates and pseudo-velocities, respectively [16].

The carrier has two translational DoF along  $X$  and  $Y$ , denoted by the vector  $\mathbf{R}^G$  in  $XY$  frame. In addition to two translational DoFs, the carrier has a rotational DoF,  $\theta$ , denoted by the vector of Eulerian-type angles  $\boldsymbol{\theta} = [0 \ 0 \ \theta]^T$ . According to the Assumption 1, the first two angles are zero. Point  $N$  on the needle has three DoFs in the body-fixed frame, namely  $\omega$ ,  $u$  and  $\psi$ , corresponding to displacements along  $x$  and  $y$  and the in-plane rotation, respectively (see Fig. 1(c)).

To model in-plane rotational motion of beam elements, we introduce a set of body-fixed frames ( $\xi\eta$ ) attached to the cross-section of the beam at point  $N$ . Denoting the in-plane bending rotation of beam element by  $\psi$  and assuming it is small, we can express rotation of the  $\xi\eta$  frame relative to the  $xy$  frame by the vector  $\boldsymbol{\psi} = [0 \ 0 \ \psi]^T$ .

Based on the presented configuration we have the vector of generalized coordinates  $\mathbf{q} = [\mathbf{R}^G \ \boldsymbol{\theta}^T \ \mathbf{u}^T \ \boldsymbol{\psi}^T]^T$ . Now, we can easily calculate the generalized velocities related to the derivatives of the generalized coordinates by

$$\begin{bmatrix} \mathbf{V}^T & \dot{\boldsymbol{\theta}}^T & \dot{\mathbf{u}}^T & \dot{\boldsymbol{\psi}}^T \end{bmatrix}^T = \begin{bmatrix} \mathbf{C} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{I} \end{bmatrix} \dot{\mathbf{q}} \quad (2)$$

where  $\mathbf{I}$  is a  $9 \times 9$  identity matrix. All vectors in (2) are in body-fixed frame ( $xy$ ). Now taking derivative of (1) with respect to time, we can write the kinematic equation of motion for point  $N$  in terms of generalized velocities as

$$\mathbf{V}_N = \mathbf{V} + \tilde{\boldsymbol{\theta}}(\mathbf{u} + \mathbf{r}) + \dot{\mathbf{u}} = \mathbf{V} + (\tilde{\mathbf{u}}^T + \tilde{\mathbf{r}}^T)\dot{\boldsymbol{\theta}} + \dot{\mathbf{u}} \quad (3)$$

the superscript  $\sim$  denotes a skew symmetric matrix.

At this point, we introduce two equations that can be used to eliminate the redundant coordinates. Using Euler-Bernoulli assumptions we have

$$u(x, t) = -\frac{1}{2} \int_0^x \omega'(\zeta, t)^2 d\zeta, \quad \psi(x, t) = \omega'(x, t) \quad (4)$$

where  $\zeta$  is a dummy integral variable and prime denotes partial derivative with respect to  $x$ . Above equations will be used later to write  $\psi$  and  $u$  in terms of other generalized coordinates and eliminate redundant degrees of freedom.

#### B. Variational Formulation of Needle Steering

Having all the kinematic equations that describe the motion of the needle and the carrier, we will use the variational

formulation and extended Hamilton's principle to derive the dynamic equations of motion for the hybrid system. We note that  $\mathbf{V}$  in (3) is defined in the body-fixed frame and based on (2), the components of  $\mathbf{V}$  are not direct derivatives of generalized coordinates.  $\mathbf{V}$  is typically called the derivative of pseudo-coordinates or pseudo-velocity vector. Similar to velocity, it is simpler to define all the forces and torques applied to the carrier and needle with reference to the body-fixed frame. Thus, it is more convenient to use the extended Hamilton's principle in terms of pseudo-coordinates. The extended Hamilton's principle stated by  $\int_{t_1}^{t_2} [\delta\mathcal{L} + \delta\bar{\mathcal{W}}] dt = 0$ .

Before we can use the extended Hamilton's principle, it is essential to find the expressions for  $T$ ,  $\mathcal{V}$ , and  $\delta\bar{\mathcal{W}}$ . Based on Assumption 3, the kinetic energy consists of only three parts, the translation of a beam element at a nominal position  $x$ , the translation of the carrier, and the carrier rotation. Using (3), we can write the kinetic energy as

$$T = \frac{1}{2} \rho A \int_0^\ell \left[ \dot{\mathbf{u}}^T \dot{\mathbf{u}} + 2 \mathbf{V}^T \dot{\mathbf{u}} + 2 \mathbf{V}^T (\tilde{\mathbf{u}}^T + \tilde{\mathbf{r}}^T) \dot{\boldsymbol{\theta}} + 2 \dot{\boldsymbol{\theta}}^T (\tilde{\mathbf{u}} + \tilde{\mathbf{r}}) \dot{\mathbf{u}} \right] dx + \frac{1}{2} m_t \mathbf{V}^T \mathbf{V} + \frac{1}{2} \dot{\boldsymbol{\theta}}^T \mathbf{J}_t \dot{\boldsymbol{\theta}} \quad (5)$$

where  $\mathbf{J}_t = \mathbf{J}_c + \rho A \int_0^\ell (\tilde{\mathbf{u}} + \tilde{\mathbf{r}})(\tilde{\mathbf{u}} + \tilde{\mathbf{r}})^T dx$  and  $m_t = m_c + \rho A \ell$  is the total mass of the needle-carrier system.

The potential energy of the system arises from two sources, gravity and needle flexibility. Considering Assumption 5, the gravitational potential energy of the needle is negligible. Also, from mechanics of materials, the bending potential energy of the deflected needle is equal to  $\frac{1}{2} EI \int_0^\ell \psi'^2 dx$  [15]. Replacing  $\psi$  using the kinematic constraint given by (4), the total potential energy can be expressed as

$$\mathcal{V} = -m_c g^T \mathbf{R} + \frac{1}{2} EI \int_0^\ell \omega'^2 dx \quad (6)$$

The non-conservative virtual work is due to the forces and torques applied to the carrier and a distributed force working on the length of the flexible needle. It can be written as

$$\delta\bar{\mathcal{W}} = \delta\boldsymbol{\theta}^T \mathbf{M}_c + \delta\mathbf{R}^T \mathbf{C}^T \mathbf{F}_c + \int_0^\ell \delta\mathbf{R}_N^T \mathbf{f} dx \quad (7)$$

where  $\mathbf{M}_c = [0 \ 0 \ M_{cz}]^T$ ,  $\mathbf{F}_c = [F_{cx} \ F_{cy} \ 0]^T$ , and  $\mathbf{f} = [f_x \ f_y \ 0]^T$ . Fig. 1(b) shows a schematic of interaction forces on the needle carrier system. The elements of the distributed interaction force vector  $\mathbf{f}$  can be obtained using Fig. 1(b) as

$$f_x = f_{cx} + f_{fx}, \quad f_y = f_{cy} + f_s + f_k + f_{fy} \quad (8)$$

where

$$\begin{aligned} f_s(x) &= F_s H_{l-X}(x), & f_k(x) &= K_t \omega [H_{x_t}(x) - H_{x_t+l_t}(x)] \\ f_{cx}(x) &= -F_{c\xi} - F_{c\eta} \omega'(l, t), & f_{cy}(x) &= [F_{c\eta} - F_{c\xi} \omega'(l, t)] \delta_\ell(x) \\ f_{fx}(x) &= F_f H_{l-X}(x), & f_{fy}(x) &= F_f \omega' H_{l-X}(x) \end{aligned} \quad (9)$$

where  $x_t = \ell - X - d_t - l_t$ . In (9), we have used assumption 3 and assumed  $\tan(\omega') = \omega'$ . The transverse and axial component of  $F_c$  in (9) can be related by the bevel angle using  $F_{c\xi} = F_{c\eta} \tan(\alpha)$  (see Fig. 1(b)). We note that some of the above mentioned forces are moving loads acting over a specific length of the needle. As the needle is inserted, their point of

application or width will change accordingly. To define the limits of the force profile applied to the length of the beam, we use unit step ( $H$ ) and Dirac delta ( $\delta$ ) functions. The shorthand notation  $H_{x_0}(x) = H(x - x_0)$  and  $\delta_{x_0}(x) = \delta(x - x_0)$  describes unit step and Dirac delta functions shifted by the constant  $x_0$ .

Note that in (9) we have used (4) to transform the contact forces shown in Fig. 1(b) from their point of application to the body-fixed frame. Consequently, all the forces given in (8) are in terms of the body-fixed frame ( $xy$ ). Having all the reaction forces defined, we can continue to derive the virtual work ( $\mathcal{W}$ ). Using (1), we can express the virtual displacement  $\delta\mathbf{R}_N$  in the body fixed-frame as  $\delta\mathbf{R}_N = \mathbf{C} \delta\mathbf{R} + (\tilde{\mathbf{u}}^T + \tilde{\mathbf{r}}^T) \delta\boldsymbol{\theta} + \delta\mathbf{u}$ . Plugging  $\delta\mathbf{R}_N$  into (7) gives

$$\begin{aligned} \delta\bar{\mathcal{W}} &= \delta\boldsymbol{\theta}^T \mathbf{M}_c + \delta\mathbf{R}^T \mathbf{C}^T \mathbf{F}_c \\ &+ \int_0^\ell [\delta\mathbf{R}^T \mathbf{C}^T \mathbf{f} + \delta\boldsymbol{\theta}^T (\tilde{\mathbf{u}} + \tilde{\mathbf{r}}) \mathbf{f} + \delta\mathbf{u}^T \mathbf{f}] dx \end{aligned} \quad (10)$$

Combining (5), (6), and (10) and considering the geometrical constraints (4) and (4), we can write the Lagrangian in general functional form as  $\mathcal{L} = \mathcal{L}(\mathbf{u}, \dot{\mathbf{u}}, \mathbf{u}', \mathbf{u}'', \mathbf{R}, \mathbf{V}, \boldsymbol{\theta}, \dot{\boldsymbol{\theta}})$ . Inserting the Lagrangian in the extended Hamilton's principle and following the mathematical procedure first introduced in [16], we can obtain

$$\begin{aligned} &\int_{t_1}^{t_2} \delta\mathbf{R}^T \left[ \mathbf{C}^T \left( -\frac{d}{dt} \left( \frac{\partial \mathcal{L}}{\partial \mathbf{V}} \right) - \tilde{\boldsymbol{\theta}} \frac{\partial \mathcal{L}}{\partial \mathbf{V}} + \mathbf{F}_c + \int_0^\ell \mathbf{f} dx \right) \right. \\ &+ \left. \frac{\partial \mathcal{L}}{\partial \mathbf{R}} \right] dt + \int_{t_1}^{t_2} \delta\boldsymbol{\theta}^T \left[ -\frac{d}{dt} \left( \frac{\partial \mathcal{L}}{\partial \dot{\boldsymbol{\theta}}} \right) - \tilde{\mathbf{V}} \frac{\partial \mathcal{L}}{\partial \mathbf{V}} + \frac{\partial \mathcal{L}}{\partial \boldsymbol{\theta}} \right. \\ &+ \left. \mathbf{M}_c + \int_0^\ell (\tilde{\mathbf{u}} + \tilde{\mathbf{r}}) \mathbf{f} dx \right] dt \\ &+ \int_{t_1}^{t_2} \int_0^\ell \delta\mathbf{u}^T \left[ \frac{\partial \hat{\mathcal{L}}}{\partial \mathbf{u}} - \frac{d}{dt} \left( \frac{\partial \hat{\mathcal{L}}}{\partial \dot{\mathbf{u}}} \right) - \frac{d}{dx} \left( \frac{\partial \hat{\mathcal{L}}}{\partial \mathbf{u}'} \right) \right. \\ &+ \left. \frac{d^2}{dx^2} \left( \frac{\partial \hat{\mathcal{L}}}{\partial \mathbf{u}''} \right) + \mathbf{f} \right] dx dt \\ &+ \int_{t_1}^{t_2} \left[ \delta\mathbf{u}'^T \frac{\partial \hat{\mathcal{L}}}{\partial \mathbf{u}''} + \delta\mathbf{u}^T \left( \frac{\partial \hat{\mathcal{L}}}{\partial \mathbf{u}'} - \frac{d}{dx} \left( \frac{\partial \hat{\mathcal{L}}}{\partial \mathbf{u}''} \right) \right) \right] \Big|_0^\ell dt = 0 \end{aligned} \quad (11)$$

At this point we simplify the integrals in (11) to derive the hybrid equations for the needle-carrier system. The fundamental lemma of the calculus of variations tells us that the integrands in the four integrals in (11) must vanish. The first two integrals in (11) will give us the Lagrangian equations for the carrier. Following the mathematical approach presented in [16] we obtain the dynamic equations of the system.

We restrict the motion of the carrier to a linear insertion along the  $X$  direction (see Fig. 1(a)). However, using (11) we calculate all the force/torque applied to the carrier. From the first two integrals in (11) we obtain the dynamic equations of the rigid part in terms of quasi-coordinates

$$F_{cx} = m_c \ddot{X} - \int_0^\ell f_x dx \quad (12a)$$

$$F_{cy} = m_c g + \rho A \int_0^\ell \ddot{\omega} dx - \int_0^\ell f_y dx \quad (12b)$$



$$M_{cz} = \int_0^\ell \left\{ -\rho A [\dot{\omega}\dot{X} + \omega\ddot{X} + \ddot{\omega}(u+x)] - \omega f_x + (x+u)f_y \right\} dx \quad (12c)$$

where  $f_x$  and  $f_y$  are given by (8) and (9). Note that based on Assumption 2, we can say that  $u$ , the needle shortening along the  $x$  direction of the body-fixed frame, is small. Thus, we have neglected the term  $\ddot{u}$  in derivation of (12).

Next, we will derive the equation of motion for the continuous part of the system. Inserting the forces given by (8) and (9) in the last two integrals in (11), taking integration by parts, and performing simple mathematical operations when appropriate, we obtain the PDE governing the motion of the needle as

$$EI\omega'''' + \rho A\ddot{\omega} + P\omega'' = Q \quad (13)$$

with  $P$  and  $Q$  being

$$Q = f_s + f_k + F_{c\eta}\delta_\ell(x) - F_{c\eta}\omega'^2\delta_\ell(x) \quad (14a)$$

$$P = F_{c\xi} + F_f(\ell-x)H_{\ell-X} + F_{c\eta}\omega'(\ell,t) \quad (14b)$$

subject to the following set of boundary conditions:

$$\omega(0,t) = 0, \quad \omega'(0,t) = 0, \quad \omega''(\ell,t) = 0, \quad \omega'''(\ell,t) = 0 \quad (15)$$

Equations (12) and (13) represent a set of coupled ordinary and partial differential equations describing the motion of the needle-carrier system. The partial differential equation (PDE) in (13) implies that the dimensionality of the problem is infinite. Also, the hybrid equations are nonlinear and complicated to solve. Next, we will simplify the equations by discretizing the continuous part and reducing the size of the model.

### C. Discretization of the Continuous PDE

In this section, the continuous model in (13) is replaced by a discrete model following an approach known as Bubnov-Galerkin method [17]. This approach has been previously used to simplify nonlinear PDEs [10]. The displacement field is approximated using  $\omega(x,t) \simeq \hat{\omega}(x,t) = \sum_{i=1}^n \phi_i(t)W_i(x)$ , where  $W_i(x)$  ( $i = 1, \dots, n$ ) is assumed function representing the first  $n$  modes of vibration and  $\phi_i(t)$  ( $i = 1, \dots, n$ ) are the generalized coordinates or time functions expressing the deformation of the beam with respect to time. We select the mode shapes of a homogeneous clamped-free beam as the assumed functions. This way we ensure that the assumed functions satisfy the differentiation requirements and geometrical and dynamic boundary conditions in (15). The mode shapes for the clamped-free beam are given by [18]

$$W_i(x) = \frac{1}{\kappa_i} \left[ -\gamma_i \left( \cos\left(\frac{\beta_i x}{\ell}\right) - \cosh\left(\frac{\beta_i x}{\ell}\right) \right) + \sin\left(\frac{\beta_i x}{\ell}\right) - \sinh\left(\frac{\beta_i x}{\ell}\right) \right] \quad (16)$$

where  $\beta_i$  ( $i = 1, \dots, n$ ) is a dimensionless constant corresponding to different modes of vibration. In this work, we will estimate the continuous model given in (13) using the first four modes. Values of the first four  $\beta_i$  for a clamped-free

beam are 1.857, 4.694, 7.855 and 10.996, respectively [18].  $\gamma$  and  $\kappa$  in (16) are given by

$$\begin{aligned} \gamma_i &= \frac{\sin \beta_i + \sinh \beta_i}{\cos \beta_i + \cosh \beta_i}, \\ \kappa_i &= -\gamma_i (\cos \beta_i - \cosh \beta_i) + \sin \beta_i - \sinh \beta_i \end{aligned} \quad (17)$$

Following the Bubnov-Galerkin method and inserting the approximated displacement field in (13) we can get a finite-dimensional reference model of needle steering as

$$\hat{\mathbf{M}}\ddot{\boldsymbol{\phi}} + \hat{\mathbf{K}}\boldsymbol{\phi} + \hat{\mathbf{R}}\boldsymbol{\phi} + \hat{\mathbf{T}}\boldsymbol{\phi} + \hat{\mathbf{G}}\boldsymbol{\phi}\boldsymbol{\phi}^T \mathbf{W}'(\ell) = \hat{\mathbf{S}}(\boldsymbol{\phi}) + \hat{\mathbf{V}} \quad (18)$$

where elements of matrices and vectors in (18) are given by

$$\begin{aligned} \hat{M}_{ij} &= \rho A \int_0^\ell W_j(x)W_i(x)dx, \quad \hat{K}_{ij} = EI \int_0^\ell W_j''''(x)W_i(x)dx, \\ \hat{R}_{ij} &= F_f \int_{\ell-X}^\ell (\ell-x)W_j''(x)W_i(x)dx + F_{c\xi} \int_0^\ell W_j''(x)W_i(x)dx, \\ \hat{T}_{ij} &= -K_t \int_{x_t}^{x_t+l_t} W_j(x)W_i(x)dx, \quad \hat{S}_i = \int_{\ell-X}^\ell F_s(x,t)W_i(x)dx, \\ \hat{G}_{ij} &= F_{c\eta} \left[ W_j'(\ell) + \int_0^\ell W_j''(x)W_i(x)dx \right], \quad \hat{V}_i = F_{c\eta} \end{aligned} \quad (19)$$

By solving the above system of ODEs, the time functions  $\phi_i(t)$  and consequently the needle deflection can be found. The matrices  $\hat{\mathbf{M}}$  and  $\hat{\mathbf{K}}$  are constant, positive definite, and symmetric and they correspond to the kinetic energy and the elastic linear forces in the beam.  $\hat{\mathbf{T}}$  corresponds to the effects of reaction forces from the template.  $\hat{\mathbf{R}}$  contains the effects of non-conservative axial forces. The last term on the right side of the system of differential equations in (18) is due to the rotation of needle tip as it bends under external forces.  $\hat{\mathbf{S}}$  shows the effects of tissue reaction force.  $\hat{\mathbf{V}}$  corresponds to the transverse component of the cutting force acting at the tip of the needle. So far, we have modeled the dynamics of the coupled needle-carrier system. However, the interaction forces shown in Fig. 1(b), including the tissue reaction force ( $F_s$ ) and the cutting force ( $F_c$ ) are yet to be determined. In the next section we will model the needle/tissue interaction forces.

### III. MODELING: NEEDLE/TISSUE INTERACTION FORCES

Fig. 1(b) shows a schematic of the interaction forces applied to the needle during needle insertion. The needle interactions with surrounding environment are modeled by axial and transverse distributed and concentrated loads that are applied to the portion of the needle that is inside the tissue. During needle insertion, the cutting force  $F_c$  is applied to the needle in a direction perpendicular to the beveled tip. The transverse and axial component of  $F_c$  are related using  $F_{c\xi} = F_{c\eta} \tan(\alpha)$  (see Fig. 1(b)). A force distribution  $F_s$  is used to model tissue reaction forces as the result of its deformation caused by needle bending. The grid template acts as a rigid support and is modeled by a stiff spring with stiffness of  $K_t$ . It is positioned at distance  $d_t$  from the tissue and has length  $l_t$ . Friction between the needle shaft and the tissue is modeled by an axially distributed load  $F_f$  tangent to the needle shaft.

In order to model the friction force  $F_f$ , will use the the LuGre model, which has been previously used to calculate

needle-tissue friction force along the needle shaft during needle steering [19]. For brevity, details of the friction force model is not considered in the analyses that follow. In the following, we will model the remaining components of the needle/tissue interaction force including the tissue cutting force  $F_c$  and tissue reaction force  $F_s$ .

#### A. Cutting Force

Modeling tissue cutting for needle steering and surgical planning has been the topic of significant research efforts [20]–[22]. In most previous studies cutting force is assumed to be constant [10], [20]. However, experimental studies show that cutting force depends on insertion velocity [22]. Here, we propose a method based on principles of fracture mechanics in elastic materials to relate cutting force to velocity-dependent tissue fracture toughness. It is a valid assumption to model the tissue around the needle tip as pure elastic, as when cutting happens relatively fast, there is no delayed viscoelastic crack growth, and the near tip plastic zone is small [23]. Fracture toughness,  $G_c$ , is a material property and a measure of resistance against crack growth in linear elastic materials. Based on the definition of fracture toughness, crack extension occurs when the rate of energy release in crack extension,  $G$ , is equal or greater than  $G_c$ .

Under plain strain condition, fracture toughness is  $G_c = \frac{K_I^2}{E'}$ , where  $E' = \frac{E}{1-\nu^2}$ .  $K_I$  is the stress intensity factor for mode I fracture. Mode I or opening mode corresponds to fracture in a body loaded by tensile forces such that the crack surfaces move apart in the direction of applied forces. Microscopic observations of tissue cutting have shown mode I crack best represents crack geometry during tissue cutting [2]. In dynamic fracture, the crack driving force should incorporate the effects of kinetic energy and crack velocity. It has been shown that the dynamic energy release rate in elastic material can be expressed as [23]

$$G(t) = \mathcal{A}(V) \frac{K_{ID}^2(V)}{E'} \quad (20)$$

Approximate expressions for  $\mathcal{A}(V)$  and  $K_{ID}(V)$  are [23]

$$\mathcal{A} = \left[ (1 - hV) \left( 1 - \frac{V}{c_r} \right) \right]^{-1}, \quad K_{ID} = \frac{K_{I0}}{1 - \left( \frac{V}{V_t} \right)^m} \quad (21)$$

where  $h$  is a function of shear and longitudinal wave speeds and constant for a given material and  $m$  is an experimentally determined constant. Clinical needle insertion velocities vary in between 5 to 50 mm/sec [24], which is significantly smaller than the Raleigh surface wave speed in soft tissue [25]. Also,  $h$  is zero for homogeneous isotropic materials and negligible at low crack velocities for non-homogeneous materials [23]. Thus, we can assume  $\mathcal{A}$  is equal to one.

(20) and (21) state that the dynamic stress intensity factor and consequently fracture toughness are functions of insertion velocity in the dynamic crack propagation, and that material resistance increases with crack speed. Based on (21), at low insertion speeds,  $K_{ID}$  is relatively insensitive to  $V$  but  $K_{ID}$  increases asymptotically as  $V$  reaches the limiting value of

$V_t$ . Now, we can use the equation proposed in [22] to relate cutting force to fracture toughness

$$F_c = G(t)w_c \quad (22)$$

$w_c$  is the cutting width and equal to the needle outer diameter.

#### B. Tissue Reaction Force

The 2D distributed force  $F_s$  shown in Fig. 1(b) represents needle-tissue interaction forces due to tissue compression. In order to find  $F_s$ , first we calculate the tissue deformation as a result of needle deflection. Next, we implement a viscoelastic tissue model to relate the tissue reaction force to the tissue deformation and obtain  $F_s$ . The magnitude of the approximated interaction forces are relative to the total deformation of tissue caused by needle deflection. If we discretize an infinitesimal insertion of the needle into three parts, namely, pre-cutting, tissue cutting, and tissue deformation (see Fig. 2(a)). In the cutting phase, the needle cuts through the tissue and opens up a path called *cutting path*. Then, cutting force is applied perpendicularly to the tip and causes needle deflection. Consequently, the soft tissue is deformed by as much as the difference between the cutting path and the final needle position.

To find the tissue reaction forces applied to a certain element of the needle, we calculate the amount of tissue deformation by comparing the global deflection of the needle element (i.e., needle shape) with the global position of needle tip (i.e., the cutting path) when it was in the current position of the needle element. This fact is explained in Fig. 2(b). We are interested in finding the tissue deformation in the proximity of the point  $N$  on the needle, which is located at position  $X_0$  with respect to the inertial frame at the present time ( $t = T_2$ ). To this end, we need to find the needle cutting path and compare it to the current needle deflection. The cutting path is the needle tip deflection when it passed  $X_0$  at a time  $t = T_1 < T_2$ . This has to be compared to the current deflection of the needle (position of point  $N$ ) at  $t = T_2$ . The difference is the tissue deformation at position  $X_0$  with respect to the inertial frame at the present time ( $t = T_2$ ).

Based on Fig. 2(b), the tissue deformation is equal to  $\omega(x, t) - \omega(\ell, t - \tau)$ , where  $\tau$  is the time delay used to find the cutting path at the current position of point  $N$  on the needle, and  $\omega(\ell, t - \tau)$  is the deflection of the needle tip or the cutting path at time  $t - \tau$ . In Fig. 2(b), for element  $N$  of the needle, we have  $\tau = T_2 - T_1$ . Also, Assuming needle deflection is relatively small compared to the length of the needle, using Fig. 2(b) we can say  $\tau = \frac{\ell - x}{V}$ , where  $V$  is the needle insertion velocity. Now to relate tissue deformation to tissue reaction force, we use a conventional viscoelastic model known as the Kelvin-Voigt model. In Kelvin-Voigt model, tissue is modeled as a Hookean spring in parallel with a Newtonian damper. The tissue reaction force can be defined as

$$F_s(x, t) = K_s [\omega(x, t) - \omega(\ell, t - \tau)] + C_s \dot{\omega}(x) \quad (23)$$

The presented novel tissue interaction model enables accounting for the effect of 180° axial rotation of the needle tip on the needle deflection. When the needle is axially rotated

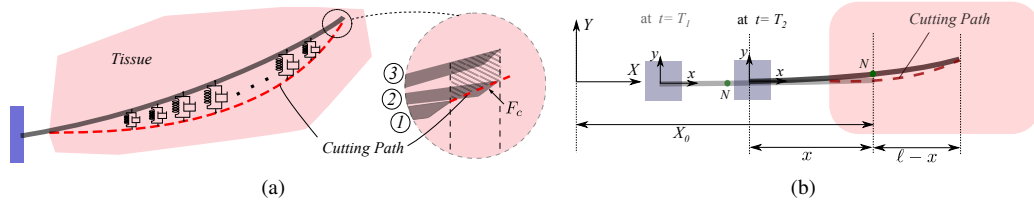


Fig. 2. A schematic of needle insertion in soft tissue. (a) Infinitesimal tissue cutting consists of three steps: 1) needle at the beginning of insertion; 2) needle cutting through the tissue; 3) cutting force applied perpendicularly to the tip causing needle deflection and tissue deformation. Tissue deformation is the difference between the cutting path and the final needle position (hatched area) and less than the needle deflection from its unbent position. Tissue reaction force is modeled by series of Kelvin-Voigt viscoelastic elements placed in between the cutting path and the needle. (b) Schematic of needle insertion and cutting path at times  $T_1$  and  $T_2$ .

during the insertion, the orientation of the bevel tip changes and consequently the direction of the transverse component of cutting force changes too, causing the needle to bend in the opposite direction. The part of the needle that is already inside the tissue is forced to stay close to the path produced by the needle beforehand, i.e., the cutting path. Thus, the needle is confined in the tissue and the model can simulate multiple bending in the needle as a result of axial needle rotations.

The tissue reaction force model given by (23) is in fact a time-delayed system.  $F_s$ , the tissue reaction force is a function of the needle deflection at previous times. Control of time-delayed systems is very challenging and, therefore, it is desirable to simplify the system. Here, a novel mathematical approach is presented to reduce the time-delayed system of differential equations to a simple system of ODEs. In our approach we use previous values of the system states to estimate the current ones. This idea was first proposed in [26].

Introducing (23) into  $\hat{S}$  in (19) and substituting assumed-mode approximation for the needle deflection gives

$$\begin{aligned} \hat{S}_i = & \int_{\ell-X}^{\ell} \left[ K_s \sum_{m=1}^n \phi_m(t) W_m(x) + C_s \sum_{k=1}^n \dot{\phi}_k(t) W_k(x) \right] W_i(x) dx \\ & - \int_{\ell-X}^{\ell} \left[ K_s \sum_{j=1}^n \phi_j(t-\tau) \right] W_i(x) dx \end{aligned} \quad (24)$$

The second integral in (24) contains the delay term,  $\tau$ . Now by expanding the delay term in (24) and replacing  $x$  as a function of the delay by  $\tau = \frac{\ell-x}{V}$  gives

$$\begin{aligned} \int_{\ell-X}^{\ell} \left[ K_s \sum_{j=1}^n \phi_j(t-\tau) \right] W_i(x) dx = & \\ \frac{K_s V}{\kappa_i} \int_0^t \left[ \sum_{j=1}^n \phi_j(t-\tau) \left( \sin(\lambda_i \tau + \beta_i) - \gamma_i \cos(\lambda_i \tau + \beta_i) \right) \right] d\tau + & \\ \frac{K_s V}{\kappa_i} \int_0^t \left[ \sum_{k=1}^n \dot{\phi}_k(t-\tau) \left( -\sinh(\lambda_i \tau + \beta_i) + \gamma_i \cosh(\lambda_i \tau + \beta_i) \right) \right] d\tau \end{aligned} \quad (25)$$

where  $\lambda_i = \frac{-\beta_i V}{\ell}$ . Close scrutiny of (25) reveals that the above technique has transformed the system of ODEs in (24) to a convolution integral. This is very promising because it facilitates solving (24) without having to deal with the system delay. Below, we tackle the problem by determining an equivalent system whose time response will take the form of the convolution integral in (25), i.e., the same as the time

response of the ODE system in (24). To do so, first we take Laplace transform of the two terms on the right side of (25), next we sort out the equations and perform basic mathematical simplifications. Finally, taking inverse Laplace gives

$$\begin{aligned} \ddot{\phi}_{ij} + \lambda_i^2 \phi_{ij} = & \\ [\sin(\beta_i) - \gamma_i \cos(\beta_i)] \dot{\phi}_j + \lambda_i [\gamma_i \sin(\beta_i) + \cos(\beta_i)] \phi_j & \\ \ddot{\chi}_{ij} - \lambda_i^2 \chi_{ij} = & \\ [-\sinh(\beta_i) + \gamma_i \cosh(\beta_i)] \dot{\phi}_j + \lambda_i [\gamma_i \sinh(\beta_i) - \cosh(\beta_i)] \phi_j & \end{aligned}$$

With two new state variables

$$\begin{aligned} \varphi_{ij}(t) = & \int_0^t \phi_j(t-\tau) \left( \sin(\lambda_i \tau + \beta_i) - \gamma_i \cos(\lambda_i \tau + \beta_i) \right) d\tau, \\ \chi_{ij}(t) = & \int_0^t \phi_j(t-\tau) \left( -\sinh(\lambda_i \tau + \beta_i) + \gamma_i \cosh(\lambda_i \tau + \beta_i) \right) d\tau \end{aligned} \quad (26)$$

Using the new state variables, we can eliminate the delay in the needle steering model and rewrite the term corresponding to the tissue model ( $\hat{S}$ ) in (19) as

$$\hat{D}\dot{\phi} + \hat{N}\phi + \varsigma = 0 \quad (27)$$

where

$$\begin{aligned} \hat{D}_{ij} = & C_s \int_{\ell-X}^{\ell} W_j(x) W_i(x) dx, \quad \hat{N}_{ij} = K_s \int_{\ell-X}^{\ell} W_j(x) W_i(x) dx, \\ \varsigma_i = & -\frac{K_s V}{\kappa_i} \sum_{j=1}^n (\varphi_{ij}(t) + \chi_{ij}(t)) \end{aligned} \quad (28)$$

Note that (27) is the needle-tissue interaction model and depends only on the needle shape and the tissue mechanical characteristics and we have eliminated the delay term. The main assumption of the proposed approach is that the continuous states and system dynamics remain almost unchanged during sampling time which is valid for control and simulation of needle insertion at high sampling frequencies. (27) is a system of ODEs that should be solved in conjunction with the needle steering model in (18) to find the needle deflection.

#### IV. EXPERIMENTAL MODEL IDENTIFICATION AND VALIDATION

##### A. Materials and Equipment

In order to perform needle insertion into soft tissue, the setup shown in Fig. 3(a) is used. Two different needles are used to perform insertions. One is a standard 18-gauge

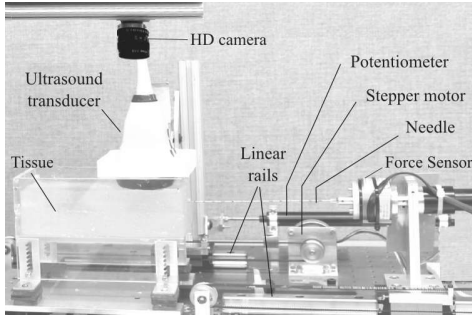


Fig. 3. Experimental setup used to perform needle insertion experiments. The setup consists of a robotic system with two DoFs, namely the translational and rotational motions of needle. Forces and torques are measured at the needle base using a 6-DOF force/torque sensor (ATI Nano 43, ATI Industrial Automation, USA). Images for needle tip and shape tracking inside transparent phantom tissues are recorded using an HD Sony camera (XCL-S800, Sony of Canada Ltd., Canada) and an Ultrasound machine (SonixTouch, Ultrasonix, Canada) is used to track needle tip position in ex-vivo tissue.

brachytherapy needle (Eckert & Ziegler BEBIG Inc., CT, USA) made of stainless steel. The second needle is more flexible and made of Nitinol wire (Kellogg's Research Labs, Plymouth, NH, USA) with a diameter of 1 mm and a length of 18 mm. The wire is inserted into the tip of a shortened needle with length of 1.2 mm and fixed in place with adhesive. The values of the needles physical parameters are given in Table II. In order to evaluate the ability of the model in capturing the effects of the grid template on needle deflection, an 18G grid template is used in certain needle insertions.

Three types of tissue are used in the experiments: plastisol, porcine gelatin, and ex-vivo beef. The plastisol tissue is made of 80% (by volume) liquid plastic and 20% plastic softener (M-F Manufacturing Co., USA). The gelatin phantom is made by mixing porcine gelatin powder (Sigma-Aldrich Co., Canada) with water. The weight ratio of gelatin-to-water in the mixture is 18%. For ex-vivo tests, a piece of beef loin is used. Bovine tissue is embedded in gelatin to get a smoother surface on top, thus increasing the contact surface between the ultrasound probe and the tissue and as a result reducing noise in the ultrasound images. Young's elasticity moduli of the three tissues calculated using indentation tests, are reported in Table I. The elasticity of the synthetic tissues are similar to what is found in animal tissue [27].

### B. Characterization of Needle-Tissue Interaction Forces

Experiments are designed and performed to identify the parameters of the friction, cutting force, and soft tissue models. The procedure presented in [19] is used to separately measure the friction force by eliminating the influence of other interaction forces and identify the friction model parameters based on friction-velocity mapping introduced in. Friction-velocity mapping was developed by inserting the needle using a sinusoidal signal with an initial frequency of 0.05 Hz, reaching to 0.2 Hz in 250 seconds. Four trials are performed for each combination of tissue and needle.

To measure the magnitude of the cutting force, a shortened needle is inserted into the tissue at 30 different constant velocities between 5 and 40 mm/sec. Knowing the magnitude

TABLE I  
EXPERIMENTALLY IDENTIFIED MODEL PARAMETERS.

Models → Cases (Tissues) ↓	Cutting force					Soft tissue		
	$V_f$ [m/sec]	$K_{f0}$ [Pa $\sqrt{m}$ ]	$m$	$RMSE$ [N]	$E$ [KPa]	$K_s$ [N/m <sup>2</sup> ]	$C_s$ [N.sec/m <sup>2</sup> ]	$RMSE$ [mm]
Gelatin	0.145	2.707e6	1.117	0.079	59.9	1.583e5	8.569e3	0.197
Plastisol	0.177	7.620e5	0.851	0.087	25.6	0.598e5	8.010e4	0.408
Beef	0.280	1.134e6	0.838	0.081	24.5	3.202e5	9.618e4	0.251

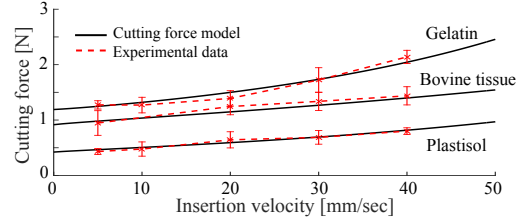


Fig. 4. A comparison between experimentally-obtained cutting force and cutting force model prediction versus insertion velocity. Error bars denote standard deviation.

of friction from the model identified in the previous step, we can simply calculate the magnitude of the cutting force for different insertion velocities. Using measured values, the parameters of the fracture-based cutting force model presented by (20) and (21) are identified. Fig. 4 displays the mean cutting force over the trials versus needle insertion velocity. As predicted by (20) and (21), the average cutting force is an increasing function of velocity. The values of identified parameters are presented in Table I. Root-mean-square error (RMSE) is calculated as  $\sqrt{\frac{\sum_{k=1}^n (\hat{y}_k - y_k)^2}{n}}$  and is used as a measure of the differences between values predicted by each identified model,  $\hat{y}$ , and the values actually observed in the experiments,  $y$ , for  $n$  data points.

The purpose of the next experiment is to identify the parameters of the viscoelastic model of the tissue (i.e.,  $K_s$  and  $C_s$ ). Several relaxation tests are performed to estimate the values of  $K_s$  and  $C_s$ . The relaxation test is performed by giving a position step input to the indenter and measuring the exerted force. The experiments are performed with a insertion velocity of 10 mm/sec and indentation depths of 5, 8, 10 mm. We calculate tissue viscosity and tissue stiffness (Young modulus) by fitting the data to the Kelvin-Voigt model using the least-squares method. Next, in order to calculate the values of  $K_s$  and  $C_s$  more precisely, we fitted the deflection data for three insertions at three different velocities (10, 20, and 50 mm/sec) to the proposed model of needle steering using nonlinear least-squares. Values of the identified parameters from the relaxation tests are used to define the initial values and lower and upper boundaries in the optimization. Table I provides the final values of the identified parameters and RMSE of the fitted models.

### C. Model Validation Results and Discussion

In the experiments, the insertion depth is fixed for each needle type – 140 mm for the brachytherapy needle and 120 mm for the Nitinol wire. The needle tip deflection is computed through image processing. For the gelatin and plastisol tissues,



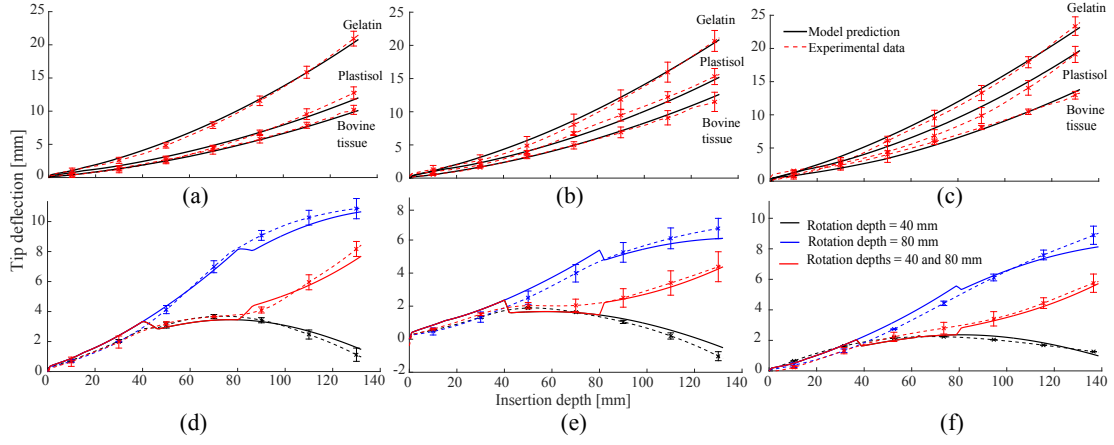


Fig. 5. A comparison of experimentally-obtained needle tip deflections and the corresponding model predictions for needle insertion in plastisol, gelatin, and bovine tissue. (a)  $V=5$  [mm/sec] without axial rotations. (b)  $V=20$  [mm/sec] without axial rotations. (c)  $V=40$  [mm/sec] without axial rotations. (d)  $V=5$  [mm/sec] with axial rotations in gelatin. (e)  $V=5$  [mm/sec] with axial rotations in plastisol. (f)  $V=5$  [mm/sec] with axial rotations in bovine tissue. Solid and dashed lines denote model prediction and experimental data, respectively.

TABLE II  
VALUES OF CONSTANT KNOWN PARAMETERS OF THE MODEL.

Brachytherapy needle							
$E$ [GPa]	$\ell$ [m]	$\rho$ [Kg/m <sup>3</sup> ]	$r_{in}$ [m]	$r_{out}$ [m]	$A$ [m <sup>2</sup> ]	$I$ [m <sup>4</sup> ]	$\alpha$ [°]
200	0.2	8030	0.5e-3	0.63e-3	4.81e-7	7.75e-14	20
Nitinol wire							
$E$ [GPa]	$\ell$ [m]	$\rho$ [Kg/m <sup>3</sup> ]	$r_{in}$ [m]	$r_{out}$ [m]	$A$ [m <sup>2</sup> ]	$I$ [m <sup>4</sup> ]	$\alpha$ [°]
75	0.18	6500	0.5e-3	0.785e-3	7.85e-7	1.962e-13	20
Grid template				Carrier			
$K_t$ [N/m]	$l_t$ [m]	$d_t$ [m]	$m_c$ [kg]				
10e7	0.02	0.005	0.045				

images are acquired using the HD camera (see Fig. 3(a)). For insertions in the bovine tissue, ultrasound images are used to find the needle deflection using the method proposed in [28]. The values of the known model parameters used in simulations are given in Table II. The stiffness of the spring modeling the template is arbitrarily chosen to be very large (10e7 N/m).

Fig. 5 shows the needle deflection for the different needles inserted in tissues at velocities of 5, 20, and 40 mm/sec. Table III compares the experimental and model prediction of tip deflection values at 6 different velocities for insertion, each of which has been attempted 5 times. Final tip position in the experiments  $\omega_{exp}(\ell)$ , final tip position in the simulation  $\omega_{sim}(\ell)$ , maximum tip error  $e_{max}$ , and standard deviation of final tip position  $\sigma(\ell)$  are listed in Table III. The largest deviation in final tip deflection was observed for plastisol at insertion velocity of 50 mm/sec (7%), while the smallest difference was observed for gelatin at insertion velocity of 5 mm/sec (3.2%).

We performed experiments involving 180° axial rotation of the needle. The brachytherapy needle is inserted in tissues at a speed of 5 mm/s while either a single rotation is performed at a depth of 40 or 80 mm, or double rotations are performed at depths of 40 and 80 mm. Four needle insertions are performed for each scenario. The resulting needle tip deflection is shown in Fig. 5. The results of this experiment are summarized in Table III. The model captures the effect of axial tip rotation on the needle deflection for all three tissues and the maximum

error in predicting the tip position is 0.74 mm at a depth of 135 mm for insertion in gelatin with a double rotation. In model predictions, when the needle is axially rotated, there is a small jump in needle trajectory that corresponds to a sudden change of the cutting force orientation. However, this sudden deflection is small (a maximum of 0.61 mm in plastisol). The magnitude of this jump depends on the value of the velocity of needle rotation, cutting force, and tissue characteristics.

Fig. 6 shows results of needle insertions performed with the template for the plastisol tissue at velocities of 5, 20 and 40 mm/sec. Five insertions were performed for each velocity. As expected, the template decreases the total needle deflection by restraining the needle motion outside the tissue. Also, the template reduces the effect of insertion velocity on the needle tip deflection – the difference between the final tip deflections at velocities of 5 and 50 mm/sec is less than 4 mm. Results show the model can perfectly explain the template effect on needle deflection with maximum final tip error of 0.72 mm. Next, insertions were performed using the more flexible needle made of Nitinol wire. Fig. 7(a) and Fig. 7(b) present the results of experimental needle insertions in synthetic tissues compared to model predictions. Needle was inserted at a velocity of 5 mm/sec with and without rotation(s). Four needle insertions were performed for each scenario. In all of the experiments with the wire, the template was used. Results indicate that the model is in good agreement with the experimental results. The maximum error in predicting the tip deflection is 1.1 mm at a depth of 121 mm for insertion in plastisol. Also, the maximum error of the model in predicting the final tip position is 0.432 mm for insertions with double rotation in plastisol.

To validate the model in terms of the dynamics of the carrier, we compare the force/torque predicted by (12a) with the needle base force/torque measured during needle insertions. Fig. 8(a) shows a comparison of experimentally-obtained axial and lateral forces and in-plane torque applied to the needle's base during needle insertion in plastisol for three different trials and the model predictions. Based on results the model accurately captures the effect of needle deflection on axial and

TABLE III  
RESULTS OF INSERTION OF THE NEEDLE AT DIFFERENT VELOCITIES WITH AND WITHOUT AXIAL ROTATION(S).

Gelatin																	Plastisol					Bovine tissue				
$V$ [mm/sec]	Rotation depth(s)	$\omega_{exp}(\ell)$ [mm]	$\omega_{sim}(\ell)$ [mm]	$e_{max}$ [mm]	$\sigma(\ell)$ [mm]	RMSE [mm]	$\omega_{exp}(\ell)$ [mm]	$\omega_{sim}(\ell)$ [mm]	$e_{max}$ [mm]	$\sigma(\ell)$ [mm]	RMSE [mm]	$\omega_{exp}(\ell)$ [mm]	$\omega_{sim}(\ell)$ [mm]	$e_{max}$ [mm]	$\sigma(\ell)$ [mm]	RMSE [mm]										
5	—	21.47	20.80	0.67	1.11	0.348	13.09	12.20	0.88	0.91	0.576	10.44	10.11	0.47	0.68	0.189										
10	—	22.04	21.11	0.93	0.94	0.421	13.85	13.48	0.49	0.56	0.442	10.25	11.16	0.91	0.43	0.373										
20	—	21.14	20.85	0.49	1.50	0.466	15.62	15.39	0.63	1.01	0.423	11.85	12.61	0.72	1.14	0.278										
30	—	22.51	22.14	0.82	1.32	0.507	16.95	18.36	1.41	1.15	0.621	12.44	13.21	0.77	0.72	0.279										
40	—	23.91	23.17	0.72	1.35	0.524	19.63	19.88	0.64	1.18	1.008	13.27	13.80	0.67	0.58	0.625										
50	—	25.12	26.02	1.21	2.10	0.642	23.2	25.33	1.59	1.78	0.726	—	—	—	—	—										
5	40 mm	0.96	1.47	0.36	0.54	0.261	-1.17	-0.51	0.60	0.37	0.334	1.21	0.98	0.25	0.12	0.154										
5	80 mm	10.89	10.65	0.72	0.77	0.380	6.75	6.10	0.68	0.62	0.437	9.02	8.13	0.70	0.59	0.403										
5	40 & 80 mm	8.42	7.68	0.74	0.65	0.332	4.44	4.28	0.51	0.92	0.306	5.85	5.62	0.45	0.60	0.271										

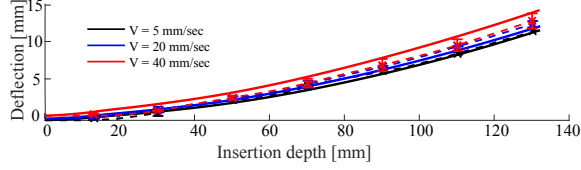


Fig. 6. Experimental needle tip deflection and model prediction for needle insertion in plastisol with the grid template. Solid and dashed lines denote model prediction and experimental data, respectively.

lateral needle base forces. Maximum RMSEs between model predictions and average experimental value of axial and lateral forces are 0.185 N and 0.073 N, respectively, for the insertion with double rotation. Maximum RMSE of model prediction for torque is 0.009 N.m for the insertion with single rotation.

As a part of model simplification discussed in II-C, we replaced the infinite-dimensional model by a discrete model. The PDE used in modeling the needle deflection is approximated by a linear combination of four arbitrarily assumed shape modes. Increasing the number of modes can enhance the accuracy of discretization method. However, it will make the model computationally inefficient for real-time control purposes. Let us investigate the trade-off between the computational efficiency and the prediction accuracy of the model. Fig. 8(b) shows model prediction of the final tip deflection at an insertion depth of 140 mm and for a constant insertion velocity of 5 mm/sec in three tissues for different numbers of assumed modes. Based on the results, needle tip deflection accuracy initially increases as we increase the number of modes. However, after the 4th mode, the change in the final tip deflection is less than 1 mm – a maximum of 0.756 mm for gelatin and minimum of 0.24 mm for bovine tissue. Thus increasing the number of assumed modes any further is not necessary. We were able to solve the 4-mode model on an Intel Core i7 (2.93 GHz) machine with 4 GB memory at a sampling frequency of 10 kHz in a total computation time of 0.28 sec. Thus, four assumed modes are sufficient for developing an accurate model that can be used in control of needle steering.

Finally, we compare the accuracy of our model with the kinematics-based models. For the sake of comparison, we have only used the bicycle model as it is reported to have better accuracy [3] than the unicycle model [6]. Needle insertions are performed with axial rotation(s) at constant velocity of 5 mm/sec and without axial rotation at constant velocities of

TABLE IV  
COMPARISON OF EXPERIMENTAL DATA AND KINEMATICS-BASED MODEL PREDICTION.

	Gelatin			Plastisol		
	$\omega_{sim}(\ell)$ [mm]	$e_{max}$ [mm]	RMSE [mm]	$\omega_{sim}(\ell)$ [mm]	$e_{max}$ [mm]	RMSE [mm]
V=5 [mm/sec]	19.7	1.28	0.527	14.03	0.61	0.841
V=20 [mm/sec]	19.7	1.49	0.738	14.03	2.72	0.987
V=40 [mm/sec]	19.7	3.72	1.067	14.03	7.68	1.201
Rotation at 40 mm	4.5	2.86	1.505	-1.7	0.53	0.782
Rotation at 80 mm	10.8	0.36	1.327	5.24	1.81	1.208
Rotations at 40 & 80 mm	9.8	1.63	0.912	4.30	0.72	0.822

5, 20 and 40 mm/sec. Results are presented in Table IV and Fig. 7(c). The model parameters are identified as proposed in [3]. Compared to our model, the kinematics-based model shows a similar fit to experimental data for fixed insertion velocity of 5 mm/sec and with no rotation. However, the model's accuracy decreases when the needle is axially rotated. This is partly because it fits the model parameters empirically for every experimental condition (e.g., every constant insertion velocity and depth of rotation) while our model requires only one global parameter fit. Also, one of the main assumptions of the bicycle model is that the needle is flexible relative to the tissue, meaning that it can be steered without causing large tissue deformations. Thus, the model has been widely used for steering of flexible wires in soft tissues. However, when applied to path planning and control in softer tissues using standard brachytherapy needles, which are three times stiffer than a Nitinol wire, there is significant error in the kinematic model predictions. Compared to the kinematic model, the proposed model demonstrates good accuracy in both soft material and stiff material for both wire and needle. Lastly, compared to the kinematic model, the proposed model accepts as input a *variable* insertion velocity.

In future, we intend to use the 2D model for controlled needle steering. In most of the needle-based medical interventions such as brachytherapy the goal is to insert the needle on a straight line and a grid template is used to position the needle in a fixed insertion plane that contains the target. Thus, the 2D model can be used to control the in plane deflection via 180° axial rotations of the needle. We will also extend the model to 3D to simulate full needle rotation and control the out of plane needle deflection. The proposed methodology used to model needle/tissue interaction forces as external excitation

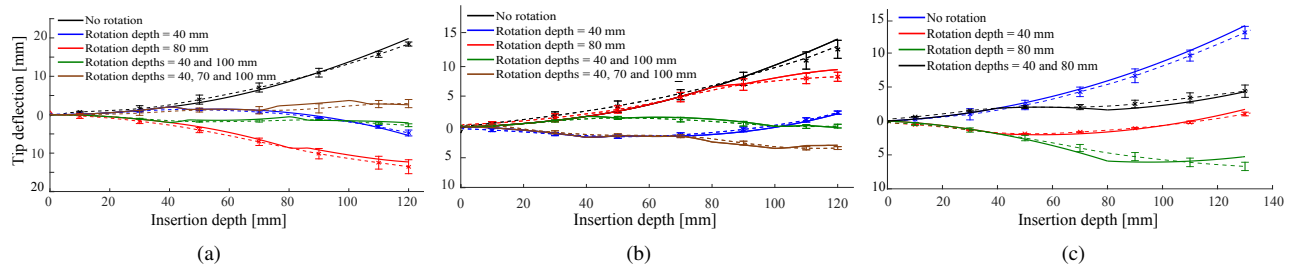


Fig. 7. A comparison of experimentally-obtained needle tip deflections and the corresponding model predictions for needle insertion using a flexible Nitinol wire at a constant insertion velocity of 5 mm/sec with and without axial rotation in (a) gelatin and (b) plastisol. (c) A comparison of kinematics-based model predictions and experimentally-obtained needle tip deflections for needle insertion at a constant velocity of 5 mm/sec with and without axial rotation in plastisol. Solid and dashed lines denote model prediction and experimental data, respectively.

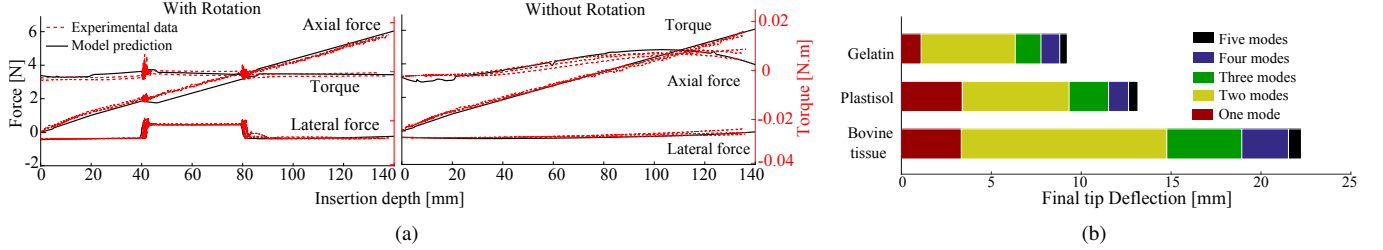


Fig. 8. (a) A comparison of experimentally-obtained needle base forces and torque and the corresponding model predictions for needle insertion in plastisol at constant insertion velocity of 5 mm/sec with no axial rotation and with double rotation at depths of 40 and 80 mm. (b) Model prediction of final tip deflection at insertion depth of 140 mm and constant insertion velocity of 5 mm/sec for different number of assumed modes.

forces allows one to implement the interaction force models in the 3D model. Also, We plan to implement the model in a robotics-assisted needle steering scheme. Fully-robotic needle insertion has been widely researched in the literature. In fully robotic needle steering the goal is to calculate a needle steering control input (mainly needle axial rotation) assuming the rest are fixed at known values such that needle targeting accuracy is improved [12], [13]. A possible intermediate step between fully-manual and fully-robotic insertion is semi-manual needle insertion, in which automatic robot-assisted adjustments are performed to one of the control inputs while other inputs are directly applied by the surgeon. For instance, the surgeon is left in charge needle insertion in the interest of ensuring the safety of operation and continuous engagement of the surgeon, while the needle tip bevel location is controlled robotically. The proposed model can be used for the semi-manual insertion as it responds to all the needle steering inputs (i.e., axial rotation, insertion velocity, needle base force/torque), only over some of which there is robotic control.

## V. CONCLUDING REMARKS

In this paper, a novel approach is used to develop a dynamic model of needle steering in soft tissue. The model relates needle deflection to insertion velocity and axial rotation of the needle, which can be regarded as control inputs for needle steering. By means of a novel *time-delayed* tissue model embedded in the proposed model the needle tip position is accurately predicted. Also, the model is computationally efficient and allows for real-time control of the needle tip position in a robotic-assisted needle insertion context. Needle insertion experiments are performed on synthetic and ex-vivo animal tissue using two different needles to validate the

needle steering model. In the collected experimental data, the maximum error of the 2D model in predicting the needle tip position in the insertion plane was 1.59 mm in case of no axial rotation and 0.74 mm with axial rotation. The results confirm the model's accuracy and capability in capturing the effects of insertion velocity and axial needle rotation on needle deflection, thus enabling future applications in pre-surgery motion planning, optimized trajectory design and real-time control of needle steering.

## ACKNOWLEDGEMENTS

This work was supported by the Natural Sciences and Engineering Research Council (NSERC) of Canada under grant CHRP 446520, the Canadian Institutes of Health Research (CIHR) under grant CPG 127768 and the Alberta Innovates - Health Solutions (AIHS) under grant CRIO 201201232.

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